

# FNA, ROSE and ancillary tests

#### **Principles and Practice**

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#### ROSE – what does it mean?

Rapid OnSite Evaluation, but...

- To what end?
- Using what methods?
- Performed by whom?
- And, in the literature, reported by whom?



## FNA - postulates

Cellular material obtained by FNA has potentially critical diagnostic value

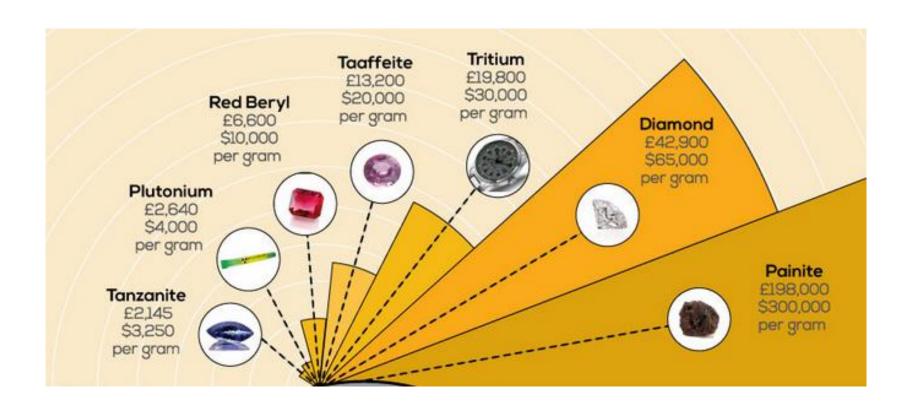
Value should be maximised taking account of FNA site and treatment options

## EBUS tissue – (monetary) value

- Single FNA weighs about:
  - 10mg
- NHS tariff for EBUS is:
  - -£1276
- Assume 5 passes (50mg), EBUS tissue is worth:
  - -£25,520/gram



## EBUS tissue - £25,520/gram



#### Potential benefits of ROSE

## Diagnostic

- Adequacy
- Diagnostic yield
  - % of cases with an actual diagnosis
  - May be specified for a particular diagnosis
  - Sensitivity, specificity, PPV, NPV
- Accuracy
  - Comparison with "gold standard"

#### Potential benefits of ROSE

#### **Process**

- Number of passes
- Number of sites
- Procedure time/resources
- Cost
- Repeat procedures

#### Potential benefits of ROSE

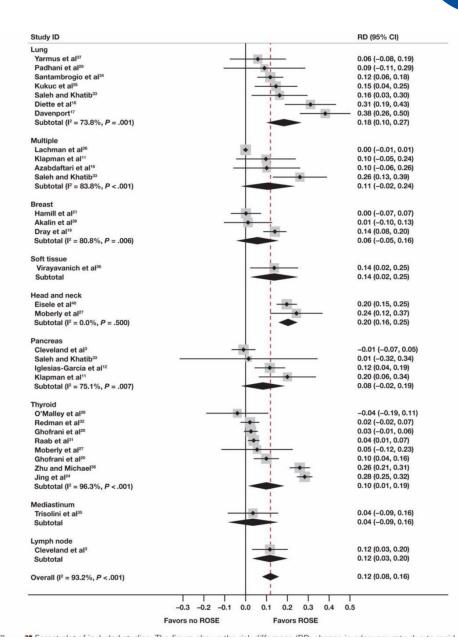
## Ancillary tests

- Immunocytochemistry
  - Diagnostic, predictive
- Molecular (mutations, translocations)
  - Predictive, prognostic
- Flow cytometry
  - Diagnostic
- Microbiological

## Main sites covered today

- Mediastinum (EBUS/EUS)
- Pancreas (EUS)
- Head and neck

# Mediastinum adequacy



The Influence of Rapid Onsite Evaluation on the Adequacy Rate of Fine-Needle Aspiration Cytology. A Systematic Review and Meta-Analysis. Schmidt RL et al

Am J Clin Pathol. 2015;139(3):300-308. doi:10.1309/AJCPEGZMJKC42VUP

Meta-analysis of 25, 2-cohort, studies with and without ROSE, a total of 12,407 cases

Forest plot shows change in adequacy rate when ROSE used. Analysis is not adjusted for initial adequacy.

Table 2—Results of the Outcome Measures

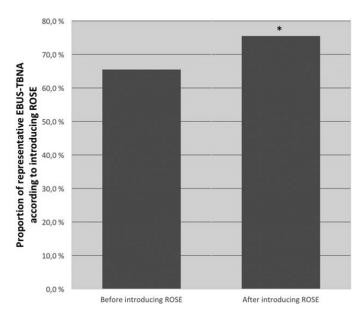
Measure	TBNA (n = 85)	TBNA + ROSE $(n = 83)$	P Value
Diagnostic yield, No. (%)	64 (75.3)	65 (78.3)	64
Adequate samples, No. (%)	109 (86.5)	80 (78.4)	.10
Number of biopsy sites, <sup>a</sup>	2(1-2)	1(1-2)	$.0005^{c}$
median (IQR)			
Complication rate of	17(20)	5 (6)	$.011^{\rm c}$
bronchoscopy,ª No. (%)			

Rapid On-site Evaluation of Transbronchial Aspirates in the Diagnosis of Hilar and Mediastinal Adenopathy

Trisolini et al CHEST 2011; 139(2):395–401

168 patients randomised to conventional TBNA with and without ROSE

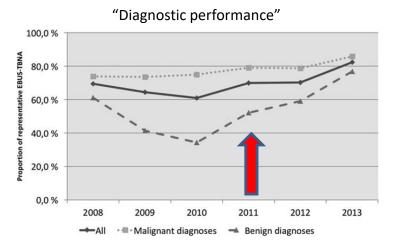
Adequacy – "a preponderance of lymphocytes"



**Figure 3.** Proportion of representative EBUS-TBNA before and after introducing rapid on-site cytological evaluation (ROSE) by experienced cytotechnologists. Data are presented as % of all EBUS-TBNA. \*: P = 0,003 compare to before ROSE.

## Learning endobronchial ultrasound transbronchial needle aspiration – a 6-year experience at a single institution

Sveinung Sørhaug et al Clin Respir J 2018; 12: 40–47



**Figure 1.** Proportion of representative EBUS-TBNA according to a final malignant or benign diagnosis. Data are presented as % of all EBUS-TBNA.

711 EBUS (855 sites), 299 (368) before ROSE, 412 (487) after ROSE

Adequacy: >40 lymphocytes per x40f ROSE provided by cytotechnologists

- Alsharif (Minnesota 2008)
  - 40 lymphocytes/x40f in most cellular area
  - OR pigmented macrophages
  - OR diagnostic material
- Nayak (New York 2010)
  - (5 x 100 lymphocytes/x10f AND <2 bronchial cell groups/x10f)</li>
  - OR germinal centre fragments
  - OR diagnostic material

- x10f has 16 times greater area than x40f
- 40 lymphocytes/x40f = 640 lymphocytes/x10f

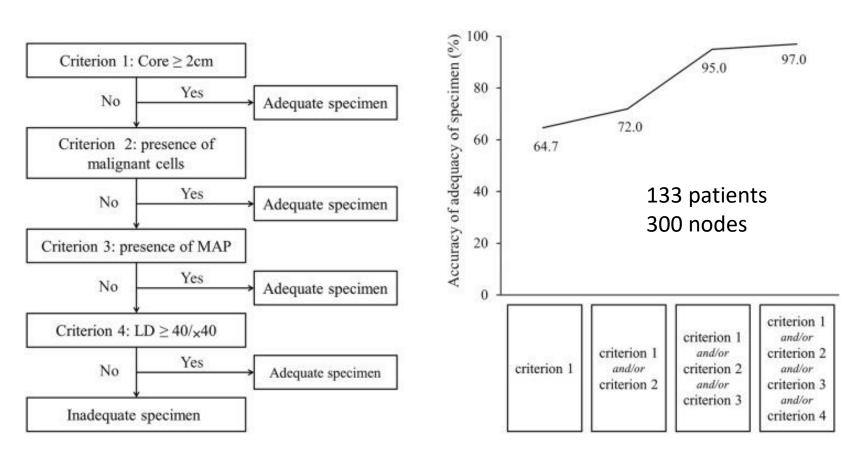
• 5 x 100 lymphocytes/x10f = 500 lymphocytes

	New York					
Minnesota	Adequate	Unsatisfactory	Total			
Adequate, No. (%)	100 (85)	2 (2)	102 (86)			
Unsatisfactory, No. (%)	0 (0)	16 (14)	16 (14)			
Total, No. (%)	100 (85)	18 (15)	118 (100)			
Simple κ	, ,	McNemar's	Test			
κ	0.931	$\chi^2$	2.000			
Standard error	0.048	df	1			
95% confidence limits	0.837-1.000	Р	.16			

Abbreviation: UAMS, University of Arkansas for Medical Sciences.



#### Adequacy for physicians



- Does ROSE help?
  - Evidence suggests:
  - yes if the adequacy rate is low (<75%)</li>
  - no if the adequacy rate is ok (>75%)
- Nevertheless, need reproducible criteria
  - We use 40 lymphocytes/x40f or pigmented macrophages or diagnostic material.

## Mediastinum

Diagnostic yield and accuracy

Table 2—Results of the Outcome Measures

Measure	TBNA (n = 85)	TBNA + ROSE $(n = 83)$	P Value
Diagnostic yield, <sup>a</sup> No. (%)	64 (75.3)	65 (78.3)	.64
Adequate samples, b No. (%)	109 (86.5)	80(78.4)	10
Number of biopsy sites, <sup>a</sup> median (IQR)	2 (1-2)	1 (1-2)	$.0005^{\circ}$
Complication rate of bronchoscopy, <sup>a</sup> No. (%)	17 (20)	5 (6)	.011c

Rapid On-site Evaluation of Transbronchial Aspirates in the Diagnosis of Hilar and Mediastinal Adenopathy

Trisolini et al CHEST 2011; 139(2):395–401 168 patients randomised to conventional TBNA with and without ROSE



#### Overall diagnostic yield – ROSE 85%, non-ROSE 75%, p=0.23

**Table 4.** Diagnostic value of EBUS-TBNA for lung cancer

	ROSE (n = 55)	Non-ROSE $(n = 53)$
Sensitivity	88	86
Specificity	100	100
Positive predictive value	100	100
Negative predictive value	40	63
Accuracy <sup>a</sup>	89	89

Data are presented as %.  $^{a}p = 0.95$  using  $\chi^{2}$  test.

Rapid On-Site Cytologic Evaluation during Endobronchial Ultrasound-Guided Transbronchial Needle Aspiration for Diagnosing Lung Cancer: A Randomized Study

Oki et al Respiration 2013;85:486–492 108 patients randomised to EBUS-TBNA with and without ROSE

Diagnostic yield and diagnostic accuracy for lung cancer secondary endpoints

	ROS	SE	NO R	OSE		Risk Difference	Risk Difference	Risk of Bias
Study	Events	Total	<b>Events</b>	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI	ABCDEFO
1.1.1 EBUS-TBNA								
Madan et al 2017	12	18	13	19	2.5%	-0.02 [-0.32 to 0.28]		
Trisolini et al 2015	96	98	94	99	87.3%	0.03 [-0.02 to 0.08]		
Oki et al 2013	47	55	39	53	10.1%	0.12 [-0.03 to 0.27]	<del>-</del>	0 00
Subtotal (95% CI)		171		171	100.0%	0.04 [-0.01 to 0.09]	•	
Total events	155		146					
Heterogeneity: Tau2	= 0.00; C	hi <sup>2</sup> = 1	.70, df =	2 (P =	.43); $I^2 = 0$	%		
Test for overall effect	ct: z = 1.54	4 (P = .	.12)	•	**			
1.1.2 c-TBNA								
Trisolini et al 2011	65	83		85		0.03 [-0.10 to 0.16]	-	⊕ ⊕⊕⊕
Madan et al 2017	13	18	6	19	25.2%	0.41 [0.11 to 0.70]	<del></del>	<b>++</b> +++
Yarmus et al 2011	19	34	18	34	30.9%	0.03 [-0.21 to 0.27]	<del>-</del>	$\oplus$ $\oplus$ $\oplus$ $\oplus$ $\oplus$ $\oplus$
Subtotal (95% CI)		135		138	100.0%	0.12 [-0.08 to 0.33]		
Total events	97		88					
Heterogeneity: Tau <sup>2</sup>	= 0.02: C	$hi^2 = 5$	5.50. df =	2 (P =	$.06$ ); $I^2 = 64$	1%		
Test for overall effect				- (	,,			
		•	,					
						⊢		$\rightarrow$
						-1	-0.5 0 0.5	1
T		01.10	0.00 16	4 (0	40) 13	201	No ROSE ROSE	
Test for subgroup dif	rrences:	Chi <sup>2</sup> =	0.66, df	= 1 (P)	= .42); I <sup>2</sup> = (	J%		

Test for subgroup differences: Chi<sup>2</sup> = 0.66, df = 1 (P = .42);  $I^2 = 0$ 9 Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias

Figure 2 – Forest plot of the risk difference comparing the diagnostic yield of EBUS-TBNA and c-TBNA with or without ROSE. The risk difference of individual studies is represented by a square through which runs a horizontal line (95% CI). The diamond with horizontal lines represents the pooled risk difference with 95% CI. Also depicted is the risk of bias of the individual studies. c-TBNA = conventional TBNA; M-H = Maentel-Hanszel test. See Figure 1 legend for expansion of other abbreviations.

Impact of Rapid On-Site Cytological Evaluation (ROSE) on the Diagnostic Yield of Transbronchial Needle Aspiration During Mediastinal Lymph Node Sampling: Systematic Review and Meta-Analysis.

Sehgal et al CHEST 2018; 153(4):929-938

5 studies – 618 subjects – good quality. No effect of ROSE on diagnostic yield in EBUS or c-TBNA

## Diagnostic yield - mediastinum

- Does ROSE help?
  - Evidence suggests:
  - No (even in blind TBNA)



## Mediastinum Process

Table 2—Results of the Outcome Measures

Measure	TBNA (n = 85)	TBNA + ROSE $(n = 83)$	P Value
Diagnostic yield, <sup>a</sup> No. (%)	64 (75.3)	65 (78.3)	.64
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bronchoscopy, <sup>a</sup> No. (%)			

Rapid On-site Evaluation of Transbronchial Aspirates in the Diagnosis of Hilar and Mediastinal Adenopathy

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Significant reduction in targeted sites

TABLE 2 Procedural Details (per Patient Analysis)

	Overall Population (N = 197)				
Procedural Detail	ROSE (98)	EBUS (99)	P Value		
Duration, mean (SD),ª min	17.8 (8.34)	17.9 (5.61)	.871		
No. sampled sites <sup>b</sup>			.005c		
1	76 (55.9)	60 (44.1)			
2	19 (33.3)	38 (66.7)			
3	3 (75)	1 (25)			

Randomized Trial of Endobronchial
UltrasoundGuided Transbronchial
Needle Aspiration With and Without
Rapid On-site Evaluation for Lung
Cancer Genotyping

Trisolini et al CHEST 2015; 148(6):1430-1437 197 patients randomised to EBUS TBNA with and without ROSE

Significant reduction in targeted sites

**TABLE 2.** Improved Health Care Resource Utilization With Rapid On-Site Evaluation (ROSE) Endobronchial Ultrasound Fine-Needle Aspiration Biopsy: Analysis of Biopsy Sites

Number of Biopsy Sites	Non-ROSE (340 Patients)	ROSE (340 Patients)	Difference (Absolute #)	Difference (Proportional)	Significance (P Value <sup>a</sup> )
1 Biopsy Site 2 or More Biopsy Sites	122 (35.88%) 218 (64.12%)	231 (67.94%) 110 (32.35%)	109 (47.1%) -108 (49.5%)	0.3206 -0.3176	<.0001 <.0001
3 or More Biopsy Sites	113 (33.23%)	22 (6.47%)	-91 (80.5%)	-0.2676	<.0001
4 or More Biopsy Sites	34 (10.0%)	1 (0.29%)	-33 (97.0%)	-0.0971	na <sup>b</sup>
Total Biopsy Sites	709	474	-235 (33.1%)		

Improved Laboratory Resource Utilization and Patient Care With the Use of Rapid On-Site Evaluation for Endobronchial Ultrasound Fine-Needle Aspiration Biopsy Collins BT et al Cancer (Cancer Cytopathol) 2013;121:544-51.

Matched case-control cohorts of TBNA with and without ROSE (340 each).

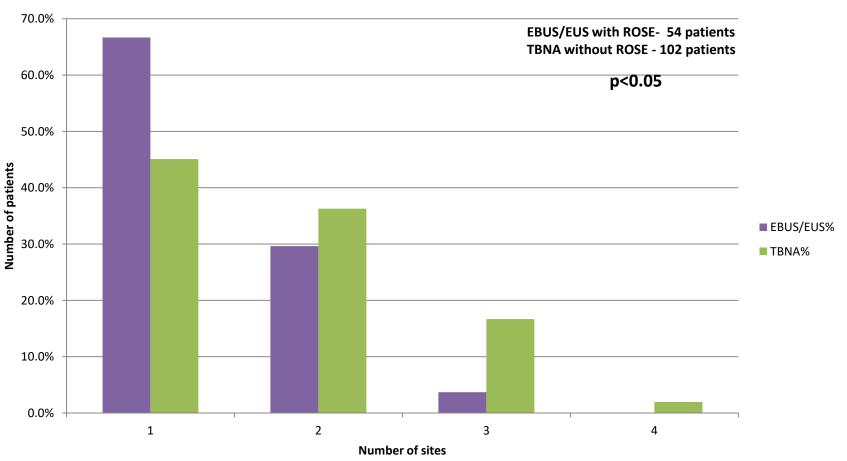
Mean sites/patient 2.085 > 1.394 33% reduction in sites biopsied

Mean slides/site 8.42 > 8.824 le no significant change



#### **West Herts**

#### Number of sites sampled per patient - percentage by method





#### Do any studies show reduction in passes/site?

**Table 2.** Procedural details

Variables	ROSE (n = 55)	Non-ROSE $(n = 53)$	p value
Mean puncture number for main target lesion Additional procedures	2.2±0.9 (1-6)	3.1±0.4 (3-5) 30	<0.001
EBUS-TBNA for other lesions	2	26	
TBB for peripheral lesions	4	3	
EBUS-TBNA for other lesions and TBB for peripheral lesions	0	1	

Rapid On-Site Cytologic Evaluation during Endobronchial Ultrasound-Guided Transbronchial Needle Aspiration for Diagnosing Lung Cancer: A Randomized Study

Oki et al Respiration 2013;85:486-492 108 patients randomised to EBUS-TBNA with and without ROSE

No of needle passes was a secondary endpoint

**TABLE 4.** Improved Health Care Resource Utilization With Rapid On-Site Evaluation (ROSE) Endobronchial Ultrasound (EBUS) Fine-Needle Aspiration Biopsy: Analysis of Health Care Utilization and Service Impact

Service Impact Category					Time Effect		
	Non-ROSE ROS	ROSE	Difference ROSE (Absolute #)	Minutes	Hours	Days	
Cytotechnologist work effort							
Total number of slides	5973 slides	4183 slides	-1790				
Time calculation <sup>a</sup>				8950 minutes	149.2 hours	18.6 working days <sup>b</sup>	
Cytopathologist work effort	5070 "	4400 111	4700				
Total number of slides Time calculation <sup>c</sup>	5973 slides	4183 slides	-1790	5370 minutes	89.5 hours	11.19 working days <sup>b</sup>	
EBUS procedural time				5570 minutes	69.5 Hours	11.19 Working days	
Biopsy sites	709	474	-235				
Time calculation <sup>d</sup>	. 50			3525 minutes	58.75 hours	7.3 working days <sup>b</sup>	

 $<sup>^{\</sup>mathrm{a}}$  Cytotechnologist time calculation: 5.0 minutes per slide (5.0 minutes imes 1790 slides)

Improved Laboratory Resource Utilization and Patient Care With the Use of Rapid On-Site Evaluation for Endobronchial Ultrasound Fine-Needle Aspiration Biopsy Collins BT et al

Cancer (Cancer Cytopathol) 2013;121:544-51.

29.9% reduction in total slides

and without ROSE (340 each).

Savings in cytopathologist, BMS, procedure time

Matched case-control cohorts of TBNA with

<sup>&</sup>lt;sup>b</sup> working day: based on 8-hour day

 $<sup>^{\</sup>rm c}$  Cytopathologist time calculation: 3.0 minutes per slide (3.0 minutes imes 1790 slides)

<sup>&</sup>lt;sup>d</sup> EBUS procedural time calculation: 15 minutes per biopsy site (15 minutes × 235 fewer sites).

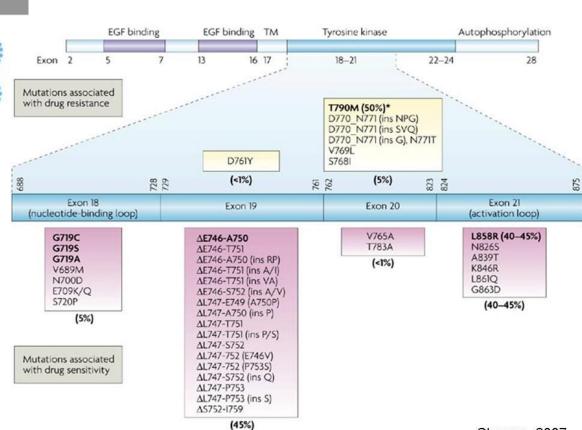
#### Process - mediastinum

- Does ROSE help? Yes
- Good evidence for reduction in sites with ROSE
- Limited evidence for reduction in passes/site
- Latter unsurprising due to
  - Time to stain and examine slides
  - Need for extra passes for ancillary studies
- In finance-driven health economies, may be savings

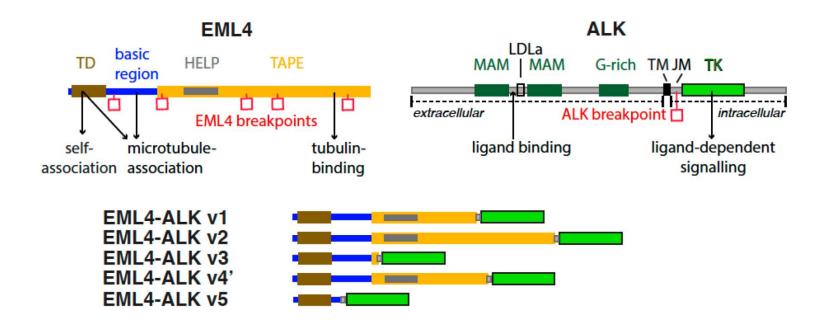


# Mediastinum Ancillary tests

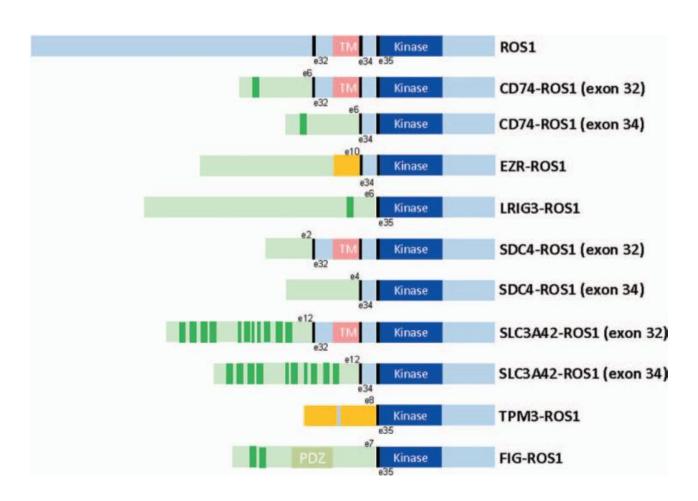




**EGFR** 



#### ALK



#### ROS-1

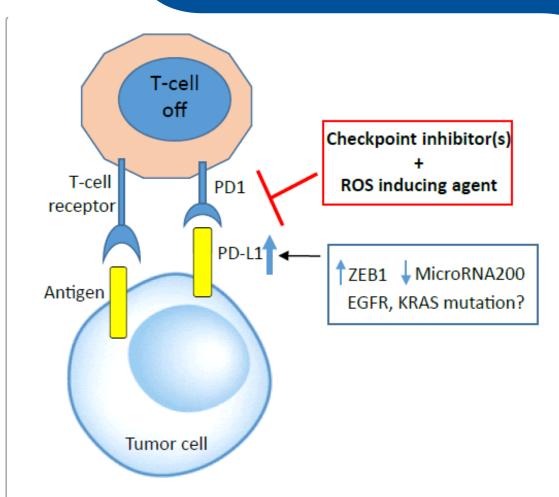


Figure 2: Cancers cells adapt and exploit immune system to evade immune surveillance by activating PD-L1/PD1 axis. ZEB1 and microRNA200 can regulate this axis. KRAS or EGFR mutation can also influence PD-L 1 expression. Blocking PD1 and PD-L1 interaction with checkpoint inhibitor(s) in combination with ROS inducing agent may lead to new approaches to overcome cisplatin resistant lung cancer.

#### Diagnostic molecular cytopathology

P R E	-2004 PARA	DIGM	POST-2004 PARADIGM			
	CYTOLOGY	HISTOLOGY		CYTOLOGY	HISTOLOGY	
ARCHITECTURAL FRAMEWORK	+	+++	ARCHITECTURAL FRAMEWORK	+	+++	
CYTOLOGICAL DETAIL	+++	++/+++	CYTOLOGICAL DETAIL	+++	++/+++	
QUALITY OF IHC	+/++	+++	QUALITY OF IHC	++/+++	+++	
EASE OF SAMPLING	+++	+/++	EASE OF SAMPLING	+++	+/++	
			MOLECULAR TESTING	+++	++/+++	
CONCLUSION	cytology diagnosis	x diagnosis, a preliminary should be followed by an al confirmation	CONCLUSION	"pathognomonic" for therapeutics – the	lar result becomes for diagnostics, or "final" he cytology opinion does I confirmation	

More Than a Decade of Molecular Diagnostic Cytopathology Leading Diagnostic and Therapeutic Decision-Making Manuel Salto-Tellez, LMS/MD, FRCPath, FRCPI Arch Pathol Lab Med—Vol 142, April 2018

Updates from 2016 Molecular Cytopathology meeting, Naples

#### Diagnostic molecular cytopathology

"Cytopathology is an integral part of the whole molecular revolution and, in some areas, such as molecular diagnostics of thyroid neoplasias or the therapeutic pathology of lung cancer, it is a leading application"

"Formalin-fixed, paraffin-embedded—based molecular testing, following adequate validation, can be applied to most cytopathology samples. Despite early attempts to deny that, it is now part of many national and international guidelines, including those in which cytopathology samples are a large fraction and those in which they may be an exception."

More Than a Decade of Molecular Diagnostic Cytopathology Leading Diagnostic and Therapeutic Decision-Making Manuel Salto-Tellez, LMS/MD, FRCPath, FRCPI Arch Pathol Lab Med—Vol 142, April 2018

Updates from 2016 Molecular Cytopathology meeting, Naples

#### ROSE – DNA quality from cell blocks

#### West Herts cases sent for NGS – January 2015 – March 2016

		DNA cor	DNA conc'n (ng/μl)		DIN allo	cation (cases)
		Mean	Range	Mean	DIN<3	DIN>3
Cyto	EBUS/EUS (n=22; 21 for DIN)	8.73	0.82 - 40.4	4.29	5	16
	FNA (n=8)	4.23	0.76 – 19.8	1.46	6	2
	Pleural (n=5)	9.32	0.48 – 10.5	3.86	2	3
	Washings (n=2)	1.87	1.47 – 2.26	1.80	2	0
	Overall (n=37)	7.47	0.76 – 40.4	3.41	15	21
Histo	Core biopsy (n=14; 13 for DIN)	5.61	0.51 - 11.9	4.40	4	9
	Mucosal biopsy (n=8)	5.49	1.04 – 10.1	6.10	2	6
	Overall (n=22)	5.57	0.51 – 11.9	4.46	6	15

# Updated Molecular Testing Guideline for the Selection of Lung Cancer Patients for Treatment With Targeted Tyrosine Kinase Inhibitors

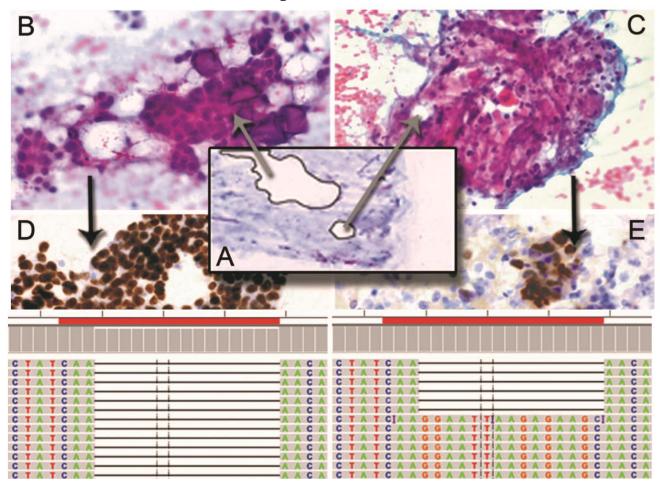
Guideline From the College of American Pathologists, the International Association for the Study of Lung Cancer, and the Association for Molecular Pathology

1. Any Cytology Sample With Adequate Cellularity and Preservation May Be Tested.—The original recommendation preferred cell blocks over smears. A recent systematic review<sup>28</sup> identified by the literature search has indicated that numerous studies have been published showing excellent performance of smear preparations, such that this preference is no longer appropriate. It is incumbent upon any laboratory that tests cytopathology specimens to perform appropriate validation studies of these as separate sample types, distinct from tissue and blood samples.



## Cytology Smears in the Era of Molecular Biomarkers in Non-Small Cell Lung Cancer

**Doing More With Less** 



# Does ROSE help with acquisition of tissue for molecular tests?

# Guideline for the Acquisition and Preparation of Conventional and Endobronchial Ultrasound-Guided Transbronchial Needle Aspiration Specimens for the Diagnosis and Molecular Testing of Patients with Known or Suspected Lung Cancer

Erik H.F.M. van der Heijden<sup>a</sup> Roberto F. Casal<sup>b</sup> Rocco Trisolini<sup>c</sup> Daniel P. Steinfort<sup>d</sup> Bin Hwangbo<sup>e</sup> Takahiro Nakajima<sup>f</sup> Birgit Guldhammer-Skov<sup>g</sup> Giulio Rossi<sup>h</sup> Maurizio Ferretti<sup>i</sup> Felix F.J. Herth<sup>j</sup> Rex Yung<sup>k</sup> Mark Krasnik<sup>l</sup> on behalf of the World Association for Bronchology and Interventional Pulmonology

Task Force on Specimen Guidelines

Does ROSE influence tissue sampling for molecular analysis?

ROSE is very useful for the confirmation of the presence of tumor cells within the samples. Even though no prospective comparative trials have been published on the possible influence of ROSE on the diagnostic yield of TBNA or EBUS-TBNA for molecular testing, we suggest that ROSE be used when molecular testing is looked for until high-quality trials are available. Currently, an RCT aimed at evaluating the role of ROSE in EBUS-TBNA samples for molecular testing is ongoing (ClinicalTrials. gov identifier: NCT01799382).

TABLE 3 Results of the Study End Points

	Ov	erall Population (N = 1	.97)	Patients With Nonsquamous NSCLC (n = 126)			
End Point	ROSE (98)	ROSE (98) EBUS (99) P Value		ROSE (65)	EBUS (61)	P Value	
Complete genotyping <sup>a</sup>				90.8	80.3	.094	
Sensitivity <sup>b</sup>	97.5	95.1	.682	96.9	95.1	.673	
Adequacy⁵	94.3	97.1	.357	94.9	97.7	.425	

Data are presented as %. See Table 1 legend for expansion of abbreviations. Primary end point.

Randomized Trial of Endobronchial
UltrasoundGuided Transbronchial
Needle Aspiration With and Without
Rapid On-site Evaluation for Lung
Cancer Genotyping

Trisolini et al CHEST 2015; 148(6):1430-1437 197 patients randomised to EBUS TBNA with and without ROSE

Trend towards greater success in genotyping with ROSE but not statistically significant

bSecondary end point.

## Molecular testing on endobronchial ultrasound (EBUS) fine needle aspirates (FNA): Impact of triage

Diagnostic Cytopathology. 2018;46:122–130.

**TABLE 1** Key differences between Group A and B

	Group A	Group B
Triage at start of procedure	+	+/-
>1 cytology personnel	+	+/-
Slides prepared by clinical (non-cytology) staff	_	+/-

Retrospective analysis of 100 cases of lung adenocarcinoma in which EBUS with ROSE was utilised. Cases allocated to group A or B according to number and timing of cytology personnel

TABLE 1 Key differences between Group A and B

	Group A	Group B
Triage at start of procedure	+	+/-
>1 cytology personnel	+	+/-
Slides prepared by clinical (non-cytology) staff	_	+/-

There was a difference in availability of sufficient tissue for MT on cell blocks between Group A and Group B. One case from Group A (n=1/22; 4.5%) and 20 from Group B (n=20/78; 25.6%) had insufficient malignant cells in cell block(s) for MT. Because the smallest expected cell count in the resulting contingency table is smaller than 5, the classic Pearson-Fisher  $\chi^2$ d test is not recommended for these data. Instead, following the recommendations of Campbell, the "N-1"  $\chi^2$ d test was used and showed that the difference between the rate of failure for MT in Group A and the rate of failure for MT in Group B is statistically significant with P values = 0.033.

Retrospective analysis of 100 cases of lung adenocarcinoma in which EBUS with ROSE was utilised. Cases allocated to group A or B according to number and timing of cytology personnel

Does ROSE help with acquisition of tissue for molecular tests?

Yes, probably

#### Can you diagnose lymphoma at EBUS?

# Endobronchial Ultrasound and Lymphoproliferative Disorders: A Retrospective Study

Seher Iqbal, MD, Zachary S. DePew, MD, Paul J. Kurtin, MD, Anne-Marie G. Sykes, MD, Geoffrey B. Johnson, MD, Eric S. Edell, MD, Thomas M. Habermann, MD, Fabien Maldonado, MD

The Annals of Thoracic Surgery
Volume 94, Issue 6, Pages 1830-1834 (December 2012)

- Mayo Clinic: 2006-2011
- Retrospective study cross-referencing lymphoma + EBUS databases
- 65 patients
- Sensitivity 29%
  - 21G needle
  - No ROSE min 3 passes, unless 2 passes produced visible core
  - No flow cytometry

#### Can you diagnose lymphoma at EBUS?

Diagnosis and Subtyping of De Novo and Relapsed Mediastinal Lymphomas by Endobronchial Ultrasound Needle Aspiration

Mufaddal T. Moonim, Ronan Breen, Paul A. Fields, and George Santis

Am J Respir Crit Care Med Vol 188, Iss. 10, pp 1216–1223, Nov 15, 2013

- 100 cases of suspected lymphoma in 5 years
  - ROSE service
  - Flow cytometry + cytogenetics etc available
- Correct diagnosis of
  - 48/51 de novo lymphoma (88%)
  - 15/15 relapsed lymphoma (100%)
  - 32/34 non-lymphoma (96%)
- Sensitivity/specificity = 89%/97%
- Sensitivity of sub-typing
  - HGL 90%
  - LGL 100%
  - HD 79%
- EBUS result enough for clinical mgt in 84/100 (84%)

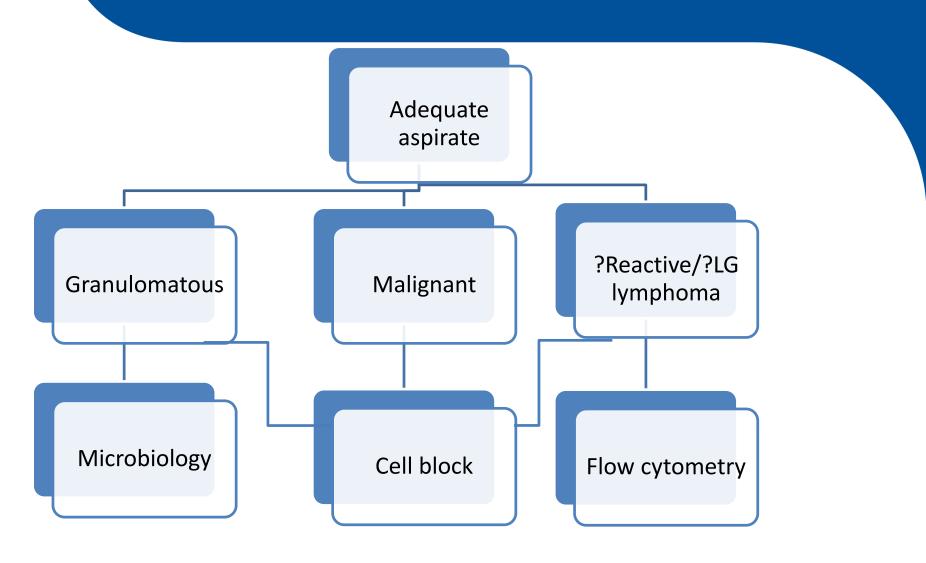
#### Can you diagnose lymphoma at EBUS?

#### Yes, but there needs to be

- Good (ie abundant) cell block material
- Appropriate material for flow cytometry, if necessary
- A good relationship with the Haematopathology service, wherever that is
  - Specialist Integrated Haematological Malignancy
     Diagnostic Service
- And the sensitivity for HD and HGNHL may be a challenge



#### ROSE – specimen management



#### ROSE in the mediastinum – summary

#### Advantages

- Instant (actually 2-3 minute) feedback for endoscopist
  - Adequacy and provisional diagnosis
- Specimen management and triage
  - Solid tumour/high grade lymphoma cell block
  - ?Reactive node/?low grade lymphoma flow cytometry
  - Granulomas microbiology
- Reduction of sites/patient (?passes/site)
- Disadvantages/reasons not more utilised
  - BMS and/or consultant time and resource
  - May be out of comfort zone for either
  - Potential specimen compromise endoscopist's fear of slides++++/insufficient material for molecular



## **Pancreas**

#### Pancreatic EUS – key differences

- Generally only one target
  - Though possible to sample lymph nodes as well
- Clear division into
  - Solid and cystic lesions
  - Different sample handling and implications
- For the majority of solid pancreatic lesions (ie pancreatic ductal carcinoma), diagnosis is morphological

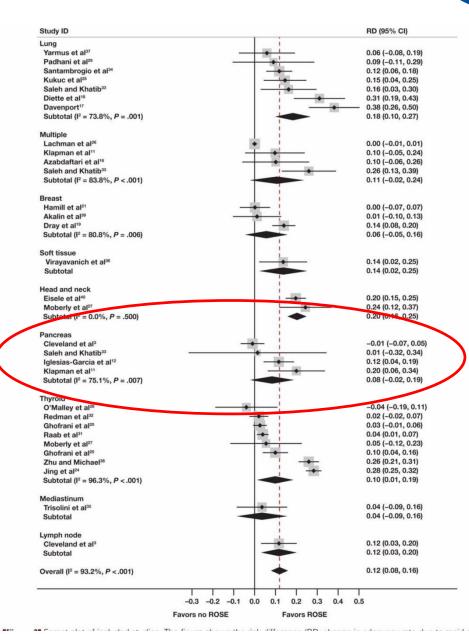
#### Pancreatic EUS – key challenges

- GI epithelial contamination is a major issue
- Benign inflammatory lesions are a problem (IgG4, chronic pancreatitis)
- Specimens may be paucicellular

#### Pancreatic EUS

- So, is there any point doing ROSE, if
  - You can't lower the number of targeted sites, and
  - Ancillary tests are less used?

 Well, there's always adequacy, diagnostic yield, process etc.



The Influence of Rapid Onsite Evaluation on the Adequacy Rate of Fine-Needle Aspiration Cytology. A Systematic Review and Meta-Analysis. Schmidt RL et al

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Meta-analysis of 25, 2-cohort, studies with and without ROSE, a total of 12,407 cases

Forest plot shows change in adequacy rate when ROSE used. Analysis is not adjusted for initial adequacy.

	Coefficient			95%	% CI		
<b>Equation Factor</b>	Symbol	Value	ROSE Impact	Lower	Upper	$t^b$	P
Non-ROSE adequacy rate, X <sub>j</sub> Tissue effects	β	-0.67		-0.82	-0.51	-8.91	<.001
Breast	α	0.00	Low	Reference			
Pancreas	$\alpha_2$	0.14	High	0.05	0.23	3.26	.004
Lung	$\alpha_3$	0.14	High	0.07	0.22	3.78	.001
Lymph node	$\alpha_4^{\circ}$	0.15	High	0.02	0.28	2.42	.02
Thyroid	$\alpha_5$	0.14	High	0.07	0.21	4.07	<.001
Multiple	$\alpha_6^5$	0.12	High	0.03	0.20	2.81	.01
Mediastinum	$\alpha_7$	0.01	Low	-0.15	0.16	0.12	.90
Soft tissue	$\alpha_8^{\prime}$	0.03	Low	-0.12	0.18	0.44	.66
Head and neck	$\alpha_9$	0.15	High	0.05	0.24	3.28	.004
Constant	ĸ	0.53		0.40	0.65	9.00	<.001

The Influence of Rapid Onsite Evaluation on the Adequacy Rate of Fine-Needle Aspiration Cytology. A Systematic Review and Meta-Analysis. Schmidt RL et al

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Meta-analysis of 25, 2-cohort, studies with and without ROSE, a total of 12,407 cases

Analysis adjusted for initial adequacy.

# The presence of a cytopathologist increases the diagnostic accuracy of endoscopic ultrasound-guided fine needle aspiration cytology for pancreatic adenocarcinoma: a meta-analysis

S. Hébert-Magee\*, S. Bae<sup>†</sup>, S. Varadarajulu<sup>‡</sup>, J. Ramesh<sup>‡</sup>, A. R. Frost\*, M. A. Eloubeidi<sup>‡</sup> and I. A. Eltoum\*

Cytopathology 2013, 24, 159-171

Table 5. Predefined subgroup analysis with multivariate meta-regression showing only cytopathology is statistically significant

Subgroup	RDOR 95% (CI)	<i>P</i> -value
Number of patients	1.00 (1.00–1.01)	0.1329
On-site cytopathology	5.95 (2.15–16.45)	0.0012
Reference standard	4.91 (0.62–38.92)	0.1264

Meta-analysis of 34 studies (3644 patients) some with, some without ROSE. No effect on adequacy, but diagnostic accuracy improves with ROSE.

<sup>\*</sup>Division of Anatomic Pathology, Department of Pathology, University of Alabama at Birmingham, Birmingham, AL, USA,

<sup>†</sup>Division of Preventive Medicine, Department of Medicine, University of Alabama at Birmingham, Birmingham, AL, USA,

<sup>&</sup>lt;sup>‡</sup>Division of Gastroenterology and Hepatology, Department of Medicine, University of Alabama at Birmingham, Birmingham, AL, USA

#### Rapid On-Site Evaluation Does Not Improve Endoscopic Ultrasound-Guided Fine Needle Aspiration Adequacy in Pancreatic Masses: A Meta-Analysis and Systematic Review

Fanyang Kong<sup>1©</sup>, Jianwei Zhu<sup>1©</sup>, Xiangyu Kong<sup>1©</sup>, Tao Sun<sup>1</sup>, Xuan Deng<sup>2</sup>, Yiqi Du<sup>1‡</sup>\*, Zhaoshen Li<sup>1‡</sup>\*

1 Department of Gastroenterology, Changhai Hospital, Second Military Medical University, Shanghai, China, 2 Shanghai Medical College of Fudan University, Shanghai, China

	ROS	E	Without	ROSE		Risk Difference			Risk Difference	<b>:</b>	
Study or Subgroup	<b>Events</b>	Total	<b>Events</b>	Total	Weight	M-H, Random, 95% CI	Year		M-H, Random, 95%	6 CI	
Alsohaibani2009	14	22	14	22	7.2%	0.00 [-0.28, 0.28]	2009				
Garcia2011	92	95	67	87	22.2%	0.20 [0.10, 0.29]	2011		-		
Cermak2012	124	167	162	214	23.1%	-0.01 [-0.10, 0.07]	2012		-		
Nayar2013	83	97	73	82	21.9%	-0.03 [-0.13, 0.06]	2013		-		
Wani2015	114	121	108	120	25.5%	0.04 [-0.03, 0.11]	2015		-		
Total (95% CI)		502		525	100.0%	0.04 [-0.04, 0.13]			•		
Total events	427		424								
Heterogeneity: Tau <sup>2</sup> =	0.01; Ch	$i^2 = 14.0$	65, df = 4 (	(P = 0.00)	$(5); I^2 = 73$	3%		1 00	<del></del>		
Test for overall effect:	Z=0.99	(P = 0.3	32)					-1 -0.5	ROSE Withou	0.5 t ROSE	1

Fig 4. Forest plot displaying the Risk Difference and 95% CIs of each study for the diagnosis yield.

#### Rapid On-Site Evaluation for Endoscopic Ultrasound-Guided Fine-Needle Biopsy of the Pancreas Decreases the Incidence of Repeat Biopsy Procedures

Brian T. Collins, MD<sup>1</sup>; Faris M. Murad, MD<sup>2</sup>; Jeff F. Wang, MD<sup>1</sup>; and Cory T. Bernadt, MD, PhD<sup>1</sup>

**TABLE 2.** ROSE EUS FNA Biopsy in Repeat Procedures: Proportional Difference

Biopsy	Repeat Patients/ All Patients	Proportional Ratio			
Non-ROSE service	22/377	0.0584			
ROSE service	11/379	0.029			
Difference	50% difference	-0.0293 ( <i>P</i> value <.024)			

Abbreviations: EUS FNA, endoscopic ultrasound-guided fine-needle aspiration; ROSE, rapid on-site evaluation.

**TABLE 4.** ROSE EUS FNA Biopsy in Repeat Procedures: Definitive Categorization After Second Biopsy

Biopsy	Definitive Diagnosis on Secon Biopsy/All Patients	d Proportional Ratio
Non-ROSE service	6/22 (27%)	0.273
ROSE service Difference	7/11 (64%) 37% higher rate of positivity on ROSE second biopsy	0.636 0.364 ( <i>P</i> value <.044)
	Twice as likely to have a definitive positive using ROSE than non-ROSE service	

Case-controlled cohort study, 377 non-ROSE, 379 ROSE

# Does ROSE help with pancreatic EUS? Maybe(with adequacy and diagnostic yield)

# Pancreas – ancillary tests

#### Immunocytochemistry

 For the minority of solid lesions that are not pancreatic ductal carcinoma, immuno may be crucial – ie cell blocks needed

#### Molecular - currently

- No guidelined role for molecular testing in solid pancreatic lesions
- However, there is a role for KRAS testing in cystic pancreatic lesions – distinguishes lesions of mucinous origin

#### Other ancillary tests

CEA/amylase in cyst fluid in ddx of pseudocyst/mucinous cyst



## Head and neck

Equation Factor	Coefficient			95%	CI		
	Symbol	Value	ROSE Impact	Lower	Upper	$t^b$	P
Non-ROSE adequacy rate, X <sub>j</sub> Tissue effects	β	-0.67		-0.82	-0.51	-8.91	<.001
Breast	$\alpha_1$	0.00	Low	Reference			
Pancreas	$\alpha_2$	0.14	High	0.05	0.23	3.26	.004
Lung	$\alpha_3$	0.14	High	0.07	0.22	3.78	.001
Lymph node	a <sub>4</sub>	0.15	High	0.02	0.20	2.42	.02
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2015 American Thyroid Association Management Guidelines for Adult Patients with Thyroid Nodules and Differentiated Thyroid Cancer: The American Thyroid Association Guidelines Task Force on Thyroid Nodules and Differentiated Thyroid Cancer

"The largest studies of preoperative molecular markers in patients with indeterminate FNA cytology have respectively evaluated a seven-gene panel of genetic mutations and rearrangements (*BRAF*, *RAS*, *RET/PTC*, *PAX8/PPARγ*), a gene expression classifier (167 GEC; mRNA expression of 167 genes), and galectin-3 immunohistochemistry (cell blocks)."

#### [A17] AUS/FLUS cytology ■ RECOMMENDATION 15

For nodules with AUS/FLUS cytology, after consideration of worrisome clinical and sonographic features, investigations such as repeat FNA or molecular testing may be used to supplement malignancy risk assessment in lieu of proceeding directly with a strategy of either surveillance or diagnostic surgery....

In summary, there is currently no single optimal molecular test that can definitively rule in or rule out malignancy in all cases of indeterminate cytology, and long-term outcome data proving clinical utility are needed.

#### [A19] Suspicious for malignancy cytology

#### ■ RECOMMENDATION 17

(B) After consideration of clinical and sonographic features, mutational testing for *BRAF* or the seven-gene mutation marker panel (*BRAF*, *RAS*, *RET/PTC*, *PAX8/PPARy*) may be considered in nodules with SUSP cytology if such data would be expected to alter surgical decision-making.

- Molecular testing in thyroid disease is not yet mandated but...
- It would be wise to make sure you have a robust mechanism ready for molecular testing of your thyroid specimens in the future...

#### Head and neck - summary

- Reasonable evidence that ROSE improves adequacy and diagnostic yield
- Ancillary tests similar to other sites immuno for selected cases, flow and immuno for possible lymphoma, micro for possible infection
- Molecular testing in thyroid a fast-developing field

#### ROSE in West Herts – preparations

- 3 slides per pass
  - one air-dried rapid-stained for ROSE
  - one fixed for later Pap stain
  - one "spreader" air-dried later MGG
- Solid material into formalin for cell block
- "Bloody" material into saline for cell block later
- Micro sterile saline
- Flow saline flush then into EDTA tube
- If ROSE team cannot attend all into ThinPrep (LBC) unless lymphoma/infection suspected

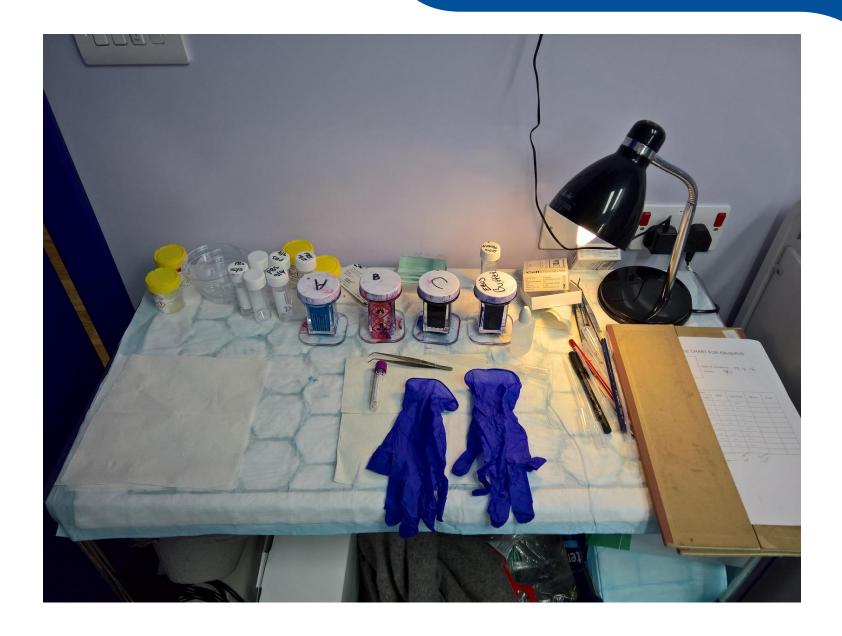
#### **ROSE** at West Herts

- 49 year old woman
- Aug 2016 G3 IDC, ER 0, PR 3, HER2 3+
  - Rx primary chemo + Herceptin
- MRI 3 x residual foci of carcinoma
- Mastectomy April 2017
- February 2018 cough
- CT showed R hilar mass EBUS



### West Hertfordshire Hospitals NHS Trust



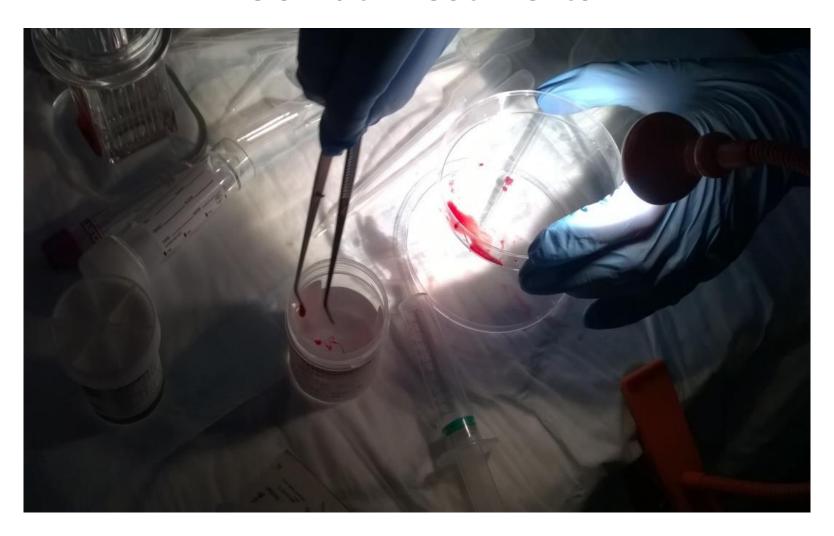




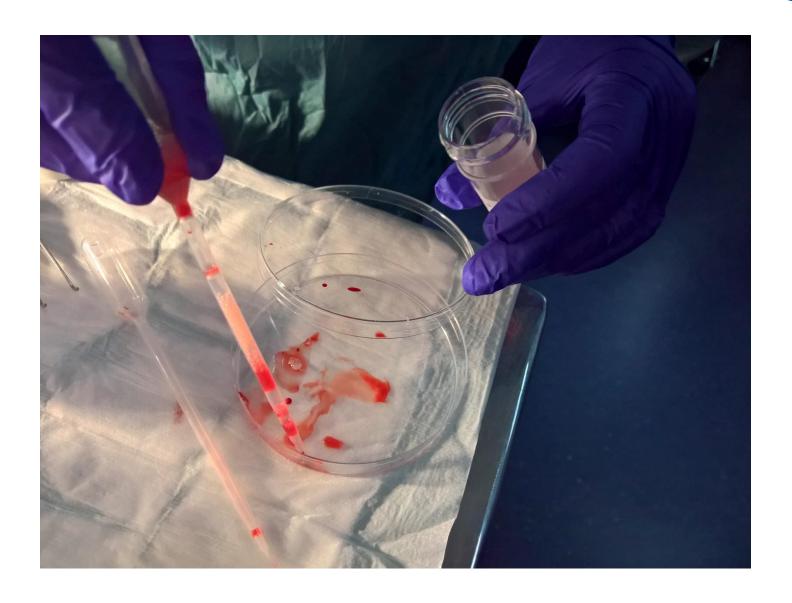


















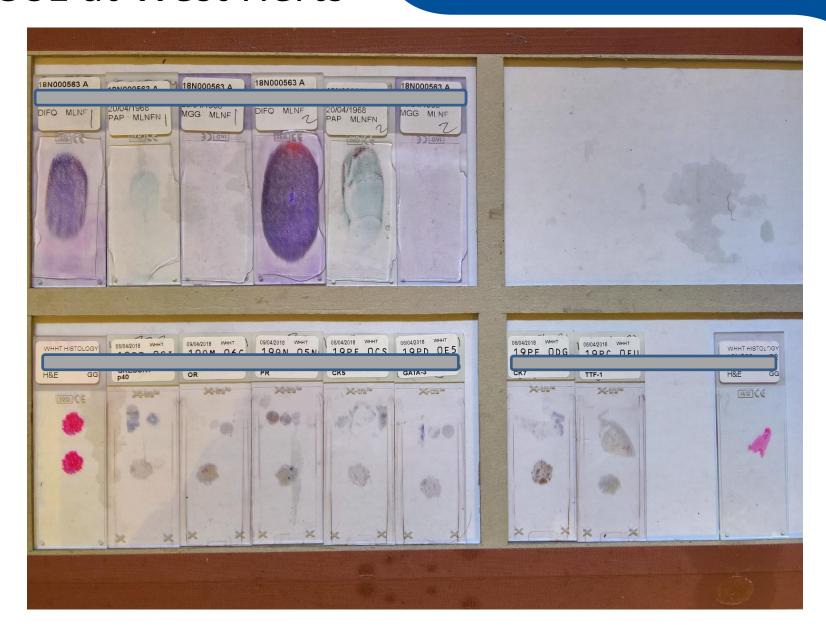




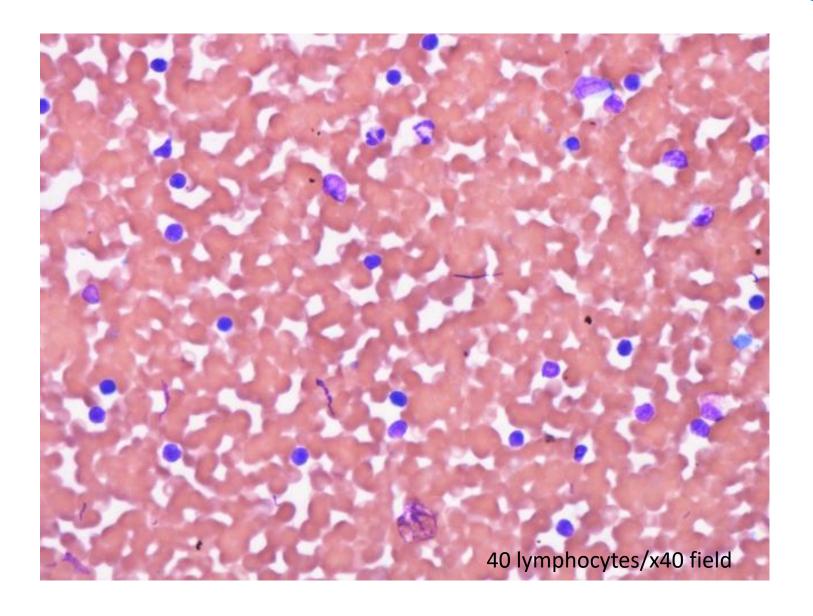


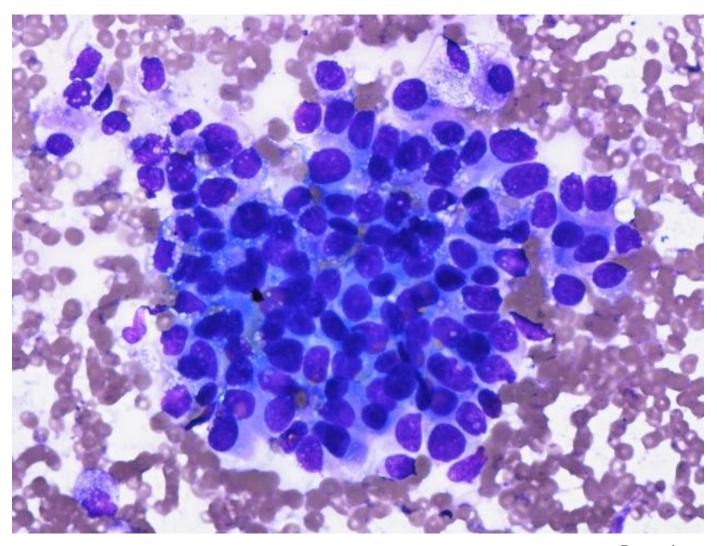
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					Date of p	orocedure: 3	14/1	8
								,
-	C4-		– direct	slides	PRS	Cell block	Micro	Flow
Pass	Site		- direct :	slides	PBS	Cell block	Micro	Flow
Pass	Site Station UR	Cyto	Air-	1	PBS	Cell block	Micro	Flow
Pass	Station	Cyto	Air-	1	PBS	Cell block	Micro	Flow
1	Station	Cyto	Air-	1	PBS	Cell block	Micro	Flow
1 2	Station UP UR	Cyto	Air-	1	PBS	Cell block	Micro	Flow



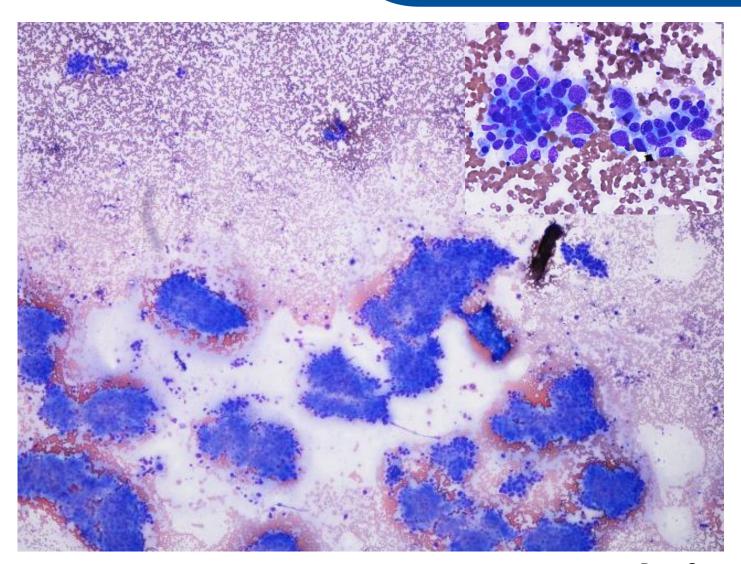




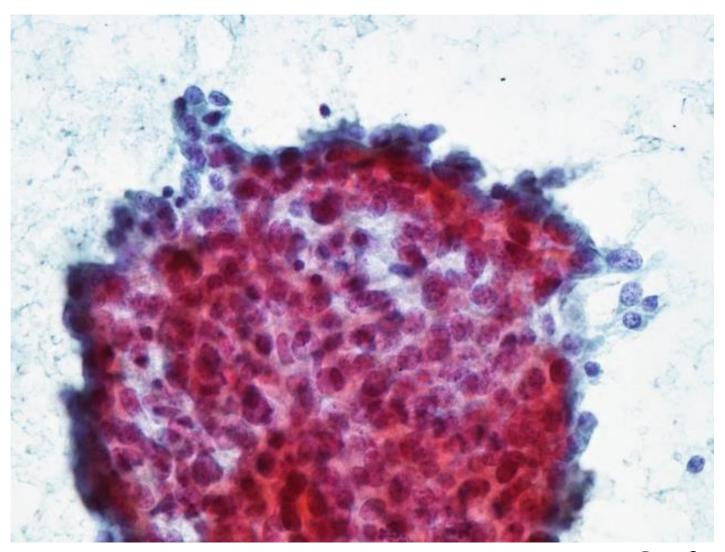




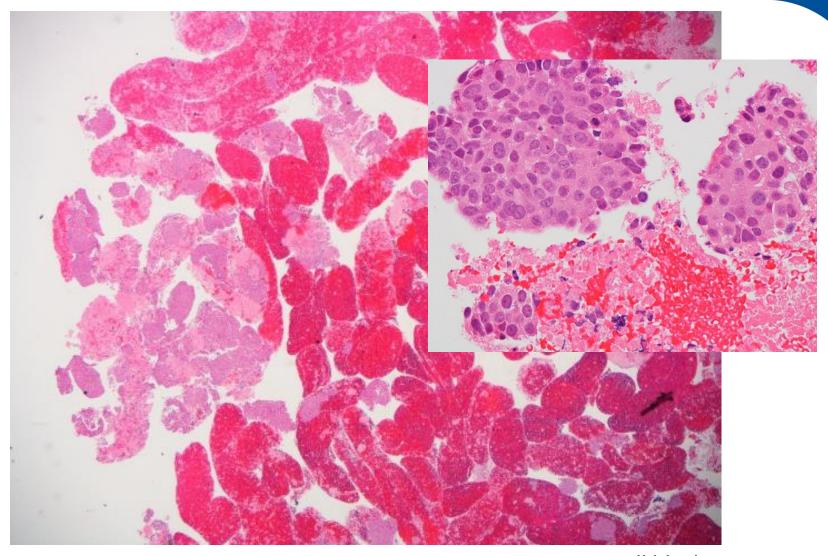
Pass 1



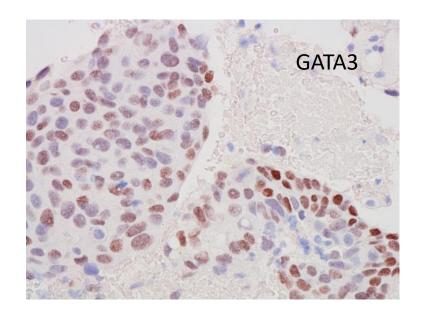
Pass 2

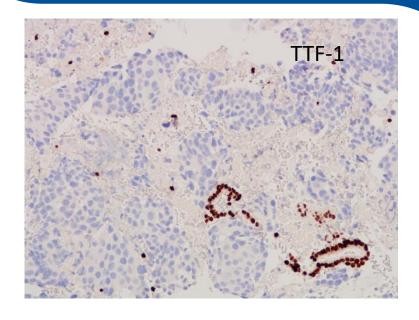


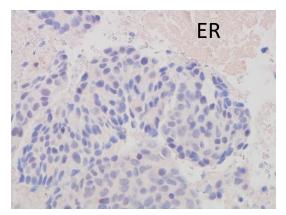
Pass 2

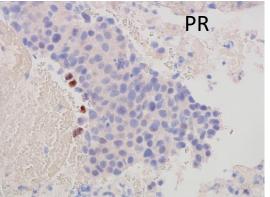


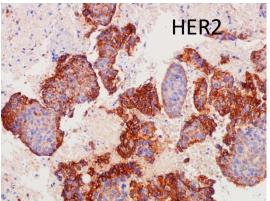
Cell block 1



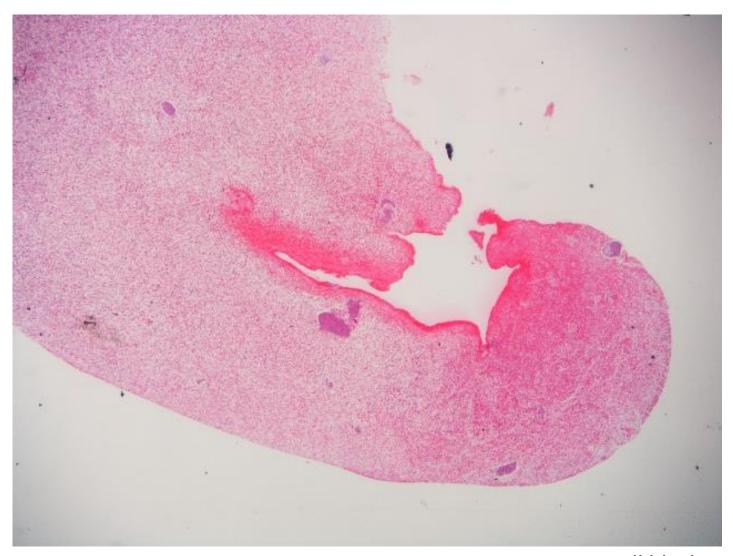








Cell block 1



Cell block 2

#### ROSE – who's in the team?

- At West Herts (and most places in UK where service available)
  - Cytopathologist
  - Biomedical scientist
- Around the world
  - May have purely cytotechnologist (BMS) teams
  - Aarhus University Hospital kappa coefficient
     for diagnosis 0.99 (Schacht et al. Cytopathology 2016;27(5):344-350)

# ROSE – availability

- 2014
- Telephone survey of 147 respiratory MDTs
- 73 currently using EBUS
- 15 have ROSE
- (11 using EUS in addition to EBUS)
- Most MDTs unaware of ROSE as a technique

# ROSE – who could/should be the team?

- Cytopathologist
- Biomedical scientist/cytotechnologist
- Endoscopist
- Radiologist

Combinations of the above

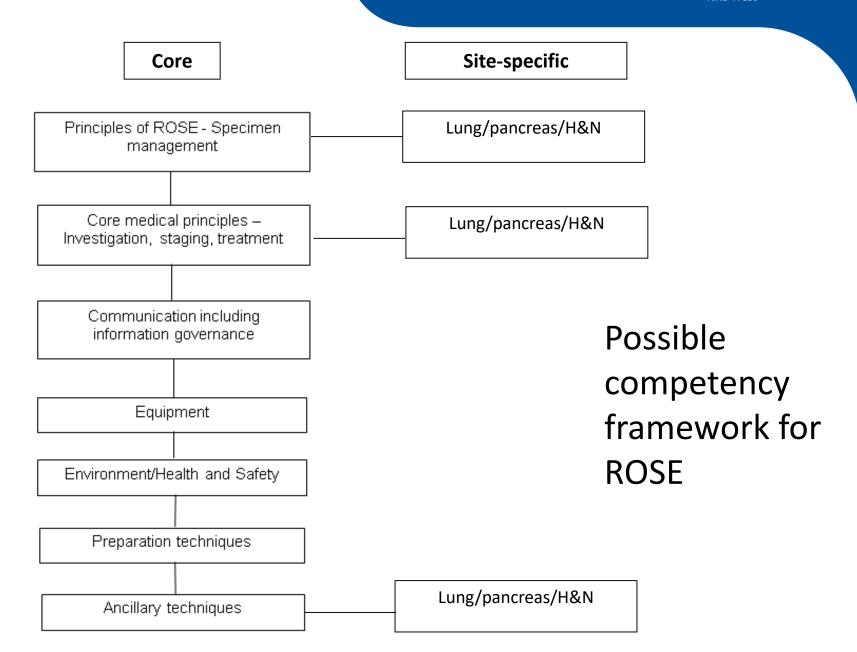




Role of Biomedical Scientists within the provision of a non-gynaecological cytology service

#### Sample assessment for adequacy for reporting

Certain NGC samples are taken by specific clinical procedures (e.g. mediastinal EBUS, FNA of many sites) by clinical teams or by Pathologists. An opinion as to sample adequacy and sometimes a diagnosis can be offered by a Pathologist at the time the sample is taken. In most settings though, resources do not allow for this. A comment on sample adequacy (Rapid on-site evaluation – ROSE) may be offered by a biomedical scientist. If the biomedical scientist has suitable experience based on competency and service needs and appropriate training/qualifications they may also be able to offer a preliminary opinion mainly for triage of the sample material rather than for patient management as well as ROSE.



# Summary

- The main benefit of ROSE is
  - Specimen management
  - Making the best use of valuable material
- Depending on site targeted and non-ROSE adequacy rate, may be beneficial for
  - Adequacy, diagnostic yield, efficiency of process
- In my view, best done by members of Cytology team, but not necessarily pathologists

### Thanks to the West Herts ROSE team

- Winnie Tang, band 7 BMS and lead
- Claire Kiepura, band 6 BMS
- Claire Plank, band 6 BMS
- Maureen Grosso, cytoscreener
- Sharon Bunting, cytoscreener



# Thank you

